



Virginia Retirement System

VRS Defined Contribution Plans ROLLOVER CONTRIBUTION FORM

Select only one plan for this request below:

- Optional Retirement Plan for Higher Education - 626623
- Optional Retirement Plan for Political Appointees - 626624

- Optional Retirement Plan for School Superintendents - 626625
- Virginia Supplemental Retirement Plan - 626626

PERSONAL INFORMATION (please print clearly using black or blue ink)

NAME: _____ SOCIAL SECURITY NUMBER: _____
(last 4 digits only)

ADDRESS: _____ APT: _____

CITY: _____ STATE: _____ ZIP CODE: _____

DAY PHONE: _____ EVENING PHONE: _____

E-MAIL: _____ DATE OF BIRTH: ____/____/____

INSTRUCTIONS

1. Contact your former employer, plan administrator, or financial institution to request and receive a rollover distribution check. You will need to provide the correct payee information for your rollover. The rollover check should be mailed directly to you and payable as follows:
 - Voya Institutional Trust Company for the benefit of (F.B.O.) (Your Name)
 2. Obtain required documentation. Your former employer or financial institution should provide you with proof of plan qualification and taxability. Proof of plan qualification status is typically documented in a copy of the plan's IRS Letter of Determination, a signed letter from your employer or prior Plan Administrator and/or your rollover distribution statement. Proof of taxability is typically documented in your rollover distribution statement.
 3. Write the last four digits of your Social Security Number on the rollover check.
 4. Personal checks will not be accepted and will be returned to you.
- PLEASE NOTE: AN INCOMPLETE APPLICATION, INSUFFICIENT DOCUMENTATION, A MISSING CHECK OR A CHECK WITH INCORRECT PAYEE INFORMATION MAY RESULT IN A DELAY IN POSTING FUNDS TO YOUR ACCOUNT OR THE RETURN OF YOUR APPLICATION AND/OR CHECK.**

PROOF OF PLAN QUALIFICATION AND TAXABILITY

Plan qualification: Your rollover contribution to the VRS Defined Contribution Plans selected above must be from another qualified plan or IRA. The VRS Defined Contribution Plans accepts rollover contributions from a 401(a) plan, 401(k) plan, 403(b) plan, 457(b) governmental Federal Thrift Savings Plan or Traditional IRA. If you choose to roll over an eligible plan payment that was paid to you, it will be treated as an indirect rollover which must be completed within 60 days after you received the payment.

Important note regarding the 60-day rollovers: If a portion of the rollover is attributable to a qualified plan loan offset amount, then the deadline for rolling over that loan offset amount is the due date (including extensions) for filing the Federal income tax return for the tax year in which the plan loan offset occurs. A "qualified plan loan offset amount" is the amount by which an employee's account balance under the plan is reduced to repay a loan from the plan, and is treated as distributed from a 401(a)-qualified plan, a 403(b) plan, or a governmental 457(b) plan solely by reason of a) the termination of the plan, or b) failure to meet the repayment terms of the loan because of the employee's separation from service (whether due to layoff, cessation of business, termination of employment, or otherwise).

Taxability: You must provide documentation that details the taxability of the funds to be rolled over indicating: pre-tax, after-tax.

You may need to contact your former employer, plan administrator, or financial institution to provide you with this information which must accompany this application and rollover check.

INVESTMENT FUND ELECTIONS (MUST TOTAL 100%)

I elect to make a rollover contribution to the VRS Defined Contribution Plans selected in the amount of: \$ _____

I elect to have my funds allocated to the current investment elections I have on record with the VRS Defined Contribution Plans selected.

If you do not designate fund elections or elections do not total 100%, your rollover contribution allocation will default to the one appropriate Target Date Fund based on your date of birth.

Retirement Portfolio	_____ .00%	Money Market Fund	_____ .00%
Target Date 2030 Portfolio	_____ .00%	Stable Value Fund	_____ .00%
Target Date 2035 Portfolio	_____ .00%	Bond Fund	_____ .00%
Target Date 2040 Portfolio	_____ .00%	Inflation-Protected Bond Fund	_____ .00%
Target Date 2045 Portfolio	_____ .00%	High-Yield Bond Fund	_____ .00%
Target Date 2050 Portfolio	_____ .00%	Stock Fund	_____ .00%
Target Date 2055 Portfolio	_____ .00%	Small/Mid-Cap Stock Fund	_____ .00%
Target Date 2060 Portfolio	_____ .00%	International Stock Fund	_____ .00%
Target Date 2065 Portfolio	_____ .00%	Global Real Estate Fund	_____ .00%
Target Date 2070 Portfolio	_____ .00%	Pending Account VRSIP	_____ .00%
		TOTAL	100%

AUTHORIZATION

I certify that the amount of my rollover contribution represents only money that is eligible to be rolled over into the VRS Defined Contribution Plans selected. If any of the money is subsequently determined to be ineligible for rollover, I understand that the Plan will distribute the ineligible amount and any attributable earnings, if applicable.

PARTICIPANT SIGNATURE _____ **DATE** _____

If you have any questions, please go online at dcp.varetire.org or call the VRS Defined Contribution Plans Service Center at 1-877-327-5261 (TTY/TTD users call 1-800-579-5708). Customer Service Associates are available Monday through Friday, 8:00 A.M. to 9:00 P.M. Eastern Time (excluding stock market holidays).

CHECKLIST

PLEASE REVIEW YOUR APPLICATION CAREFULLY.

- Completed the Personal Information section, **and**
- Contacted your former employer or financial institution, **and**
- Completed the Investment Fund Elections section, **and**
- Included your rollover check (made payable to Voya Institutional Trust Company F.B.O. (your name)), **and**
- Included proof of plan qualification documenting the source of your rollover contribution such as: 401(k), 403(b), 457 or IRA (IRS Letter of Determination, letter from plan's prior record keeper, or distribution statement), **and**
- Included proof of taxability detailing the taxability of funds to be rolled over such as: pre-tax, non-Roth, after-tax, designated Roth. (Letter from plan's prior record keeper, and/or rollover distribution statement), **and**
- Signed and dated the Rollover Contribution form

If your rollover check or any of the above required information or documentation is missing from your application, there will be a delay in processing your rollover contribution and your application and/or check may be returned to you.

If your application is complete, please mail the application and any required documentation to:

VIA MAIL

Voya Financial
 Attn: VRS Defined Contribution Plans
 PO Box 990071
 Hartford, CT 06199

VIA OVERNIGHT DELIVERY

Voya Financial
 Attn: VRS Defined Contribution Plans
 One Orange Way
 Windsor, CT 06095